10-17-05

Express Mail Label No.: EV 463108336 US

Date of Deposit: October 14, 2005

Attorney Docket No. 26048-013

OCT 1 4 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

folicants:

Fokema et al.

Serial No.:

10/617,912

Filed:

July 11, 2003

For:

METHODS AND COMPOSITIONS FOR DESULFURIZATION OF

HYDROCARBON FUELS

Examiner:

Walter D. Griffin

Art Unit:

1764

October 14, 2005 Boston, Massachusetts

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- 1. Request to Correct Inventorship pursuant to 37 C.F.R. §§1.48(a) (1 page);
- 2. Statement of Inventor, Jennifer P. Gordon, to Correct Inventorship (1 page);
- 3. Combined Declaration and Power of Attorney (2 pages);
- 4. Consent of Assignee to Correct Inventorship (2 pages);
- 5. Copy of Assignment documentation as recorded on July 11, 2003 at Reel/Frame Nos. 014284/0449 (6 pages); and
- 6. Copy of Assignment document from Jennifer P. Gordon to Aspen Products Group, Inc., filed October 14, 2005 (3 pages); and
- 7. Check in the amount of \$130.00; and
- 8. Return Postcard.

I way

Applicants: Fokema et al. Serial No. 10/617,912

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 542-6000, Boston, Massachusetts.

The Commissioner is hereby authorized to charge payment of any additional fees required in connection with the paper transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 26048-013.

Respectfully submitted,

Robert J. Sayre, Reg. No. 42,124

Attorney for Applicants MINTZ, LEVIN, COHN, FERRIS,

GLOVSKY and POPEO, P.C.

Address all written correspondence to

Customer No.: 30623 Tel: (617) 542-6000 Fax: (617) 542-2241

TRA 2082791v1

Express Mail Label No.: EV 463108336 US

Date of Deposit: October 14, 2005

Attorney Docket No. 26048-013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Fokema et al. 10/617,912 July 11, 2003

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October 14, 2005 Boston, Massachusetts

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REQUEST TO CORRECT INVENTORSHIP PURSUANT TO 37 C.F.R. §§1.48(a)

Applicants respectfully request correction of the inventorship of the above-referenced patent application pursuant to 37 C.F.R. §1.48(a) to add Jennifer P. Gordon as an actual inventor of the invention of the above-referenced patent application.

As required by 37 C.F.R. §1.48(a), Applicants submit the following documents herewith: (a) a Statement of Inventorship from the person being added as an inventor, (b) a Declaration by the added inventor, (c) a Consent from the Assignee, and (d) the requisite processing fee (\$130.00) set forth in 37 C.F.R. §1.17(i).

If the Office has any questions regarding this request for correction of inventorship and the documents enclosed herewith, the Office is requested to contact the undersigned attorney for Applicants at the number provided.

10/18/2005 HLE333 00000121 10617912

01 FC:1464

130.00 OP

Respectfully submitted,

Robert J. Savre, Reg. No. 42,124

Attorney for Applicants

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C.

Address all written correspondence to

Customer no.: 30623 Tel: (617) 542-6000

Fax: (617) 542-2241

Express Mail Label No. EV 463108336 US Date of Deposit: October 14, 2005

Attorney Docket No. 26048-013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

plicants:

Fokema et al.

Serial No.: Filed:

10/617,912 July 11, 2003

For:

METHODS AND COMPOSITIONS FOR DESULFURIZATION OF

HYDROCARBON FUELS

Examiner:

Walter D. Griffin

Art Unit:

1764

Boston, Massachusetts

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT OF INVENTOR, JENNIFER P. GORDON, TO CORRECT INVENTORSHIP PURSUANT TO 37 C.F.R. §1.48(a)

I, Jennifer P: Gordon, am being added as an inventor in the above-referenced patent application. The error in initially omitting me as an inventor occurred without deceptive intention on my part.

Respectfully submitted,

Jennifer P. Gordon

Dated: 3/16/05

Express Mail Label No. EV 463108336 US Date of Deposit: October 14, 2005



COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Attorney Docket No.: 26048-013

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a utility patent is sought on the invention entitled:

METHODS AND COMPOSITIONS FOR DESULFURIZATION OF HYDROCARBON FUELS

	Number	(if PCT, so indicate)	(dd/mm/yy)	Yes	No
	Appln.	Country	Filing Date	Priority	Claimed
	I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.				
	_	luty to disclose information that dance with Title 37, Code of Fed			is
	_	have reviewed and understand the ling the claims, as amended by a			
	is attached hereto.				
\boxtimes	was filed on July 11, 2003, as United States non-provisional application U.S.S.N. 10/617,912, bearing Attorney Docket No. 26048-013.				
the spe	the specification of which:				

Applicants: Fokema *et al.*Attorney Docket No. 26048-013

٤,

I hereby claim the benefit under Title 35, United States Code, § 119(e) or §120 of any
United States application(s), or §365(c) of any PCT International application(s)
designating the United States of America listed below and, insofar as the subject matter
of each of the claims of this application is not disclosed in the prior United States or PCT
International application in the manner provided by the first paragraph of Title 35, United
States Code, §112, I acknowledge the duty to disclose material information as defined in
Title 37, Code of Federal Regulations, §1.56 which became available between the filing
date of the prior application and the national or PCT International filing date of this
application:

Application No. (U.S.S.N.)	Filing Date (dd/mm/yy)	Status (Patented, Pending, Abandoned)

PCT International Applications designating the United States:

PCT International Application No.	PCT Filing Date	Status

I hereby appoint the attorneys and/or agents associated with Mintz, Levin, Cohn, Ferris, Glovsky, & Popeo, P.C., Customer Number 30623, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, as applicant's attorneys with full power of substitution and revocation to take any and all action necessary with regard to the above-identified patent.

Please address all telephone calls to A. Jason Mirabito at telephone number (617) 542-6000.

Please address all correspondence to Customer Number 30623.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or patent issued thereon.

Inventor's Signature

Full Name of Inventor: Jennifer P. Gordon

Citizenship: United States

Residence: Brighton, Massachusetts

Post Office Address: 16 Waldo Terrace; Brighton, MA 02135

press Mail Label No. EV 463108336 US Date of Deposit: October 14, 2005

Attorney Docket No. 26048-013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Fokema et al.

Serial No.:

10/617,912

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METHODS AND COMPOSITIONS FOR DESULFURIZATION OF

HYDROCARBON FUELS

Walter D. Griffin

1764

Boston, Massachusetts

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CONSENT OF ASSIGNEE TO CORRECT INVENTORSHIP PURSUANT TO 37 C.F.R. §1.48(a)

The Assignee of the present application, Aspen Products Group, Inc., hereby provides consent to effect the correction of inventorship pursuant to 37 C.F.R.§1.48(a) as requested by the Applicants in order to add Jennifer P. Gordon as an actual inventor of the present application.

To establish ownership of the present application, enclosed herewith are copies of the assignment documentation as filed with the Assignment Branch of the U.S. Patent and Trademark Office for recordation. Said assignment documentation was recorded on July 11, 2003, at Reel/Frame No. 014284/0449.

Also enclosed herewith are copies of the assignment documentation to transfer the ownership of the present application from the inventor to be added, Jennifer P. Gordon, to the Assignee, Aspen Products Group, Inc., which is being filed with the Assignment Branch concurrently herewith.

APPLICANTS: U.S.S.N.: Fokema, *et al.* 10/617,912

The undersigned is a person authorized to act on behalf of the Assignee, or has apparent authority to sign on behalf of the Assignee.

Respectfully submitted,

Aspen Products Group, Inc.

Name: Mark Fokema.

Title: VP Research + Development

Dated: 3/4/05

Express Mail Label No. EV 463108336 US Date of Deposit: October 14, 2005



CORRECTED NOTICE

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 08, 2004

MINTZ, LEVIN, COHN, FERRIS ET AL A JASON MIRABITO ONE FINANCIAL CENTER BOSTON, MA 02111

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/11/2003

REEL/FRAME: 014284/0449

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

FOKEMA, MARK D.

DOC DATE: 07/10/2003

ASSIGNOR:

RHINE, WENDELL E.

DOC DATE: 07/10/2003

ASSIGNOR:

YE, NENG

DOC DATE: 07/10/2003

ASSIGNOR:

LEE, KANG P.

DOC DATE: 07/10/2003

ASSIGNEE:

ASPEN PRODUCTS GROUP, INC. 186 CEDAR HILL STREET MARLBOROUGH, MASSACHUSETTS 01752

BEST AVAILABLE COPY

014284/0449 PAGE 2

SERIAL NUMBER: 10617912

PATENT NUMBER:

FILING DATE: 07/11/2003

ISSUE DATE:

DIANE RUSSELE, PARALEGAL ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

Express Mail Label No. EV 320186744

Date of Deposit: July 11, 2003

OMB No. 0651-0011 (exp. 4/94)

FORM PTO-1595

(Rev. 6-93)

Attorney Docket No.: 26048-013

07-22-2003
102503414

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Please record the attached original documents or copy thereof.		
1. Name of conveying party(ies):	2. Name and address of receiving party(ies)	
Mark D. Fokema Wendell E. Rhine	Name: Aspen Products Group, Inc.	
Neng Ye Kang P. Lee	Street Address: 186 Cedar Hill Street, Marlborough, MA 01752	
Additional name(s) of conveying party(ies) attached? Yes No	7 A U	
3. Nature of conveyance: Assignment	Additional name(s) & address(es) attached? Yes No	
Security Agreement Change of Name Other	ਜ : ਜ :	
Execution Date: July 10, 2003		
4. Application number(s) or patent number(s):		
If this document is being filed together with a new application, the execution of		
A. Patent Application No(s):	B. Patent No(s):	
•	B. Patent No(s): (0 (417-912)	
Additional numbers atta		
5. Name and address of party to whom correspondence Concerning document should be mailed:	6. Total number of applications and patents involved: [1]	
Name: A. Jason Mirabito	7. Total fee (37 CFR 3.41) \$40.00	
Address: MINTZ, LEVIN, COHN, FERRIS GLOVSKY and POPEO, P.C.	 ☒ Enclosed ☒ The Commissioner is authorized to charge Deposit Account 	
One Financial Center Boston, MA 02111	No: 50-0311, Reference No. 26048-013.	
DO NOT US	E THIS SPACE	
9. Statement and signature To the best of my knowledge and belief, the foregoing information is true and	correct and any attached copy is a true copy of the original document.	
Robert J. Sayre, Reg. No. 42,124	July 11, 2003	
Signat	fre Date	
Total number of pages including cover sheet, attachments, and document: [4]		

Mail documents to be recorded with required cover sheet information to:

BOX ASSIGNMENT Commissioner of Patents and Trademarks P.O. Box 1450 Alexandria, VA 22313-1450

07/21/2003 ECOOPER 00000144 10617912

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40.00 OP



Date of Deposit: July 11, 2003

ASSIGNMENT

Attorney Docket No.: 26048-013

We, Mark D. Fokema, Wendell E. Rhine, Neng Ye, and Kang P. Lee, the undersigned, hereinafter referred to as the ASSIGNOR, for good and valuable consideration, the receipt of which is hereby acknowledged, hereby assign, sell and transfer to Aspen Products Group, Inc, a Delaware Corporation, with offices at 186 Cedar Hill Street, Marlborough, MA 01752, and its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE:

(1) our entire right, title and interest for the United States and in all countries, in and to any and all inventions, discoveries and applications which are disclosed in the application for United States Letters Patent entitled:

METHODS AND COMPOSITIONS FOR DESULFURIZATION OF HYDROCARBON FUELS

which is about to be filed with the U.S. Patent and Trademark Office, including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of the ASSIGNEE, its designee, or in my/our names at the ASSIGNEE, or its designee's election, on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any country, and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

We hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to the ASSIGNEE of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, sale and transfer not been made.

We agree, at any time, upon the request of the ASSIGNEE, to execute and to deliver to the ASSIGNEE any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries, or applications and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

We further agree at any time to execute and to deliver upon request of the ASSIGNEE such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of the ASSIGNEE under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

Applicants: Fokema et al. Attorney Docket No. 26048-013

We hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

We further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Date: July 10, 2003	Much Fahema Signature of: Mark D. Fokema
State of <u>Massachusetts</u>	-
Country of <u>USA</u>	_
Subscribed and sworn to before me this 10th	Caroline Jonalds
	Notary Public / My commission expires 11/1/2007.
Date: July 10, 2003 State of Massachusetts	Nucley & June Signature of: Wendell E. Rhine
/ 6	_
Country of 1)S/A	_
Subscribed and sworn to before me this 10th	day of July 2003.
	Carolino J. Dexard
	My commission expires $11/1/2007$

' · · ·	
Applicants: Fokema <i>et al.</i> Attorney Docket No. 26048-013	Deng
Date: July 10, 2003	Signature of: Neng Ye
State of <u>Massachusetts</u>	_
Country of USA	<u>_</u>
Subscribed and sworn to before me this 10^{10}	May of July 2003.
•	Notary Public /
	My commission expires $\frac{11}{1}$ $\frac{2007}{}$
	1 2 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1 2
	2/2
Date: July 10, 2003	Signature of: Wang P. Lee
State of Massachusetts	
Country of USA	
Subscribed and sworn to before me this 10	thay of July 2003.
	Carolino J. Doxalchar Notary Public
	My commission expires

My Commission Expires
November 1, 2007

TRA 1812335v1

Express Mail Label No. EV 463108322 US Date of Deposit: October 14, 2005

RECORDATION FORM COVER SHEET PATENTS ONLY **FORM PTO-1595** U.S. DEPARTMENT OF COMMERCE (Rev. 6-93) Patent and Trademark Office OMB No. 0651-0011 (exp. 4/94) Please record the attached original documents or copy thereof. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies) Jennifer P. Gordon Name: Aspen Products Group, Inc. Additional name(s) of conveying party(ies) attached? Yes No 3. Nature of conveyance: Street Address: 186 Cedar Hill Street, Marlborough, MA 01752 ☐ Merger Security Agreement ☐ Change of Name ☐ Other Execution Date: March 17, 2005 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is ____. A. Patent Application No(s): B. Patent No(s): 10/617,912 Additional numbers attached? ☐ Yes ☒ No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: [1] Concerning document should be mailed: 7. Total fee (37 CFR 3.41) \$40.00 Name: A. Jason Mirabito Address: MINTZ, LEVIN, COHN, FERRIS GLOVSKY and POPEO, P.C. The Commissioner is authorized to credit any overpayment or charge One Financial Center any deficiency to Deposit Account No: 50-0311, Reference No. Boston, MA 02111 26048-013. Customer No. 30623 DO NOT USE THIS SPACE 9. Statement and signature To the best of my knowledge and belief, the foregoing information is true and correct and any algached copy is a true copy of the original document. Robert J. Sayre, Reg. No. 42,124 October 14, 2005

Attorney Docket No.: 26048-013

Date

Mail documents to be recorded with required cover sheet information to:

Signatur

BOX ASSIGNMENT Commissioner of Patents and Trademarks P.O. Box 1450 Alexandria, VA 22313-1450

Total number of pages including cover sheet, attachments, and document: [3]

Express Mail Label No. EV 463108322 US Date of Deposit: October 14, 2005

Attorney Docket No.: 26048-013

ASSIGNMENT

I, Jennifer P. Gordon, the undersigned, hereinafter referred to as the ASSIGNOR, for good and valuable consideration, the receipt of which is hereby acknowledged, hereby assign, sell and transfer to Aspen Products Group, Inc, a Delaware Corporation, with offices at 186 Cedar Hill Street, Marlborough, MA 01752, and its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE: (1) my entire right, title and interest for the United States and in all countries, in and to any and all inventions, discoveries and applications which are disclosed in the application for United States Letters Patent entitled:

METHODS AND COMPOSITIONS FOR DESULFURIZATION OF HYDROCARBON FUELS

filed with the U.S. Patent and Trademark Office on July 11, 2003, and assigned Serial Number 10/617,912, including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of the ASSIGNEE, its designee, or in my/our names at the ASSIGNEE, or its designee's election, on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any country, and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

I hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to the ASSIGNEE of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, sale and transfer not been made.

I agree, at any time, upon the request of the ASSIGNEE, to execute and to deliver to the ASSIGNEE any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries, or applications and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

I further agree at any time to execute and to deliver upon request of the ASSIGNEE such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of the ASSIGNEE under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

Applicants: Fokema et al. Attorney Docket No. 26048-013

I further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Date: 3/17/05	Signature of: Jennifer P. Gordon
State of Massachuls	_
Country of No M	
Subscribed and sworn to before me this	day of Mrch 2005.
	Notary Public
	My commission expires Janie Christine Piper Notary Public My Commission Expires

August 30, 2007